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48	Abstract	Objectives: To analyze the development of a method for using Surface Electromyography (sEMG) to evaluate the suckling of premature infants and describe five case reports. Methods: The five premature infants who took part in the study were submitted to sEMG evaluation of the masseter and buccinator muscles during different feeding methods. Results: Higher masseter muscle activity was observed in the infants that breastfed or used a cup; masseter muscle activity was reduced and buccinator muscle activity was increased in infants who were fed artificially using only a bottle. Conclusions: Masseter action was reduced in bottle-fed infants, and there were similarities in masseter activity during breastfeeding and cup-feeding.	
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ORIGINAL ARTICLE

Surface Electromyography in Premature Infants: A Series of Case Reports and Their Methodological Aspects

Cristiane Faccio Gomes · Márcia Larissa Cavallari Da Costa Gois · Bárbara Carvalho Oliveira · Zuleika Thomson · Jefferson Rosa Cardoso

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Abstract

Objectives To analyze the development of a method for using Surface Electromyography (sEMG) to evaluate the suckling of premature infants and describe five case reports.
Methods The five premature infants who took part in the study were submitted to sEMG evaluation of the masseter and buccinator muscles during different feeding methods.
Results Higher masseter muscle activity was observed in the infants that breastfed or used a cup; masseter muscle activity was reduced and buccinator muscle activity was increased in infants who were fed artificially using only a bottle.
Conclusions Masseter action was reduced in bottle-fed infants, and there were similarities in masseter activity during breastfeeding and cup-feeding.

Keywords Electromyography · Premature infants · Sucking behavior · Feeding methods

Introduction

The function of sucking is of extreme importance in the life of the newborn as evidenced by the fact that sucking movements can already be observed in the 27th wk of corrected gestational age, although in a disorganized form. Neuromuscular stability occurs in healthy newborns when their corrected gestational age reaches 36 wk [1].

Premature infants present a high risk of feeding problems due to several factors, such as: neurological and neuromuscular immaturity, reduced oral and global muscular tonus, absent or reduced oral reflexes, respiratory difficulties that may compromise the coordination of suction, deglutition and respiration. Furthermore, an extended posture causes difficulties in sealing the lips and tongue and maintaining mandibular stability, both of which are very important in feeding [1–6].

The World Health Organization (WHO) advises breastfeeding exclusively during the first 6 mo of life. Moreover, the use of artificial nipples (bottles and pacifiers) is not recommended since they reduce the duration of breastfeeding and can lead to alterations in oral motor function [7].

The use of cups has been recommended as a substitute for bottles in infant feeding since it impedes both incorrect sucking and confusion when returning to the breast [8].

sEMG is a non-invasive method for evaluating muscle activity that can also be used for practical speech therapy intervention. A recent systematic review of sEMG studies about infant feeding pointed out that some authors described neither the method used to evaluate the sEMG signals nor the feeding method tested; furthermore, they made no comparison among feeding methods [9].

Studies with full-term infants have demonstrated that masseter muscle action is reduced in bottle-fed babies, and one study pointed out similarities between the activity of the masseter muscle during cup-feeding and breastfeeding. Studies with premature infants could not be compared among themselves due to the different methods and muscles evaluated [10–12].

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67 The objective of the present study was to describe five case
 68 reports and analyze the development of a method for using
 69 sEMG to evaluate the suckling of premature infants.

70 **Material and Methods**

71 The present study was part of a larger study of 50 premature
 72 infants involving different feeding methods. To characterize
 73 the methodological aspects, five random cases (one from each
 74 group) were analyzed.

75 Five premature infants of both genders with a gestational
 76 age of 28 to approximately 35 wk were evaluated; they ranged
 77 in postnatal age from 31 to 73 d, and were submitted to sEMG
 78 of the masseter and buccinator muscles during different feeding
 79 methods. The infants were evaluated according to the at-
 80 home feeding method adopted by the family.

81 Premature infants between 28 and 35 wk of gestational age
 82 of both sexes were considered for inclusion. Exclusion criteria
 83 were bronchopulmonary dysplasia, head and neck deformities
 84 and grade III or IV intracranial hemorrhage.

85 *Case 1* (Subject A) involved sEMG during breastfeeding of
 86 a baby who was exclusively breastfed. *Case 2* (Subject B)
 87 involved sEMG during cup-feeding of a baby who was both
 88 breastfed and cup-fed, which is an alternative method to
 89 breastfeeding recommended by the WHO [13]. *Case 3* (Sub-
 90 ject C) involved sEMG during breastfeeding of a baby who
 91 was predominantly breastfed but also bottle fed. *Case 4* (Sub-
 92 ject D) involved sEMG during bottle feeding of a baby who
 93 was predominantly breastfed but also bottle fed. The objective
 94 in this case was to verify whether a predominance of
 95 breastfeeding could modify the suction that the infant used
 96 when bottle feeding. *Case 5* (Subject E) involved sEMG
 97 during bottle-feeding of a baby fed exclusively by artificial
 98 means. The mothers of the infants signed a free and informed
 99 consent document approved by the Human Research Ethics
 100 Committee of the institution involved (n° 068/06).

101 For babies who were both breastfed and bottle fed, both an
 102 orthodontic nipple with the original manufacturer's opening
 103 and commercial infant formula were used.

104 A dual-channel electromyography system (*EMG System,*
 105 *model EMG2000*) was used for the examination. The system
 106 included a 12-bit analog to digital (A-D) converter plate with a
 107 channel connected to each of the two active electrodes as well
 108 as one reference electrode.

109 The EMG signal was quantified using the root mean square
 110 (RMS). The electrodes were connected to a high impedance
 111 ($1.0 \times 10^{12} \Omega$) pre-amplifier (20× gain), with a common mode
 112 rejection ratio >100 dB; the signals were adjusted to 2,000
 113 samples per second and were band-pass filtered at a frequency
 114 of 20 to 450 Hz.

115 Silver chloride mini-electrodes (45×45 mm) were prepared
 116 for correct fixation on the evaluated muscle regions. The

electrodes were positioned 16 mm apart along longitudinal
 axis of the muscle [10]. Electrolyte gel was chosen for the
 procedure as well as Transpore® tape to ensure adherence in
 spite of perspiration, movement or milk escape during the
 exam.

After palpating and finding the muscles, the skin was
 cleaned with ethanol and the electrodes were placed longitu-
 dinally on the muscle bundle with 16 mm spacing between the
 active and reference electrodes. The electrodes were then
 attached with the tape; the reference electrode was always
 placed on the lateral malleolus of the fibula.

After the placement of the electrodes, the mother was asked
 to feed her baby in the way he was normally fed at home.
 There was no contact between the left side of the infant's face
 and the mother's body in order to allow placement of the
 electrodes on that side.

sEMG evaluation was synchronized with a video recording
 of the feeding. The filming and electromyographic evaluation
 began and concluded concomitantly (the researcher controlled
 the EMG recording while an assistant controlled the filming
 on a digital camera).

No feeding lasted more than 5 min due to the rapid onset of
 fatigue in the premature babies. A Canon digital camcorder
 (*model PowerShot A470*) was used. The objective was to
 differentiate the feeding stages. In general, when the feeding
 started it was possible to observe adaptation in the latch and
 suction, independently from the method adopted. The adapta-
 tion period, or Time 1 (T1), consisted of initial rejection of
 feeding, inconstant latch, or fast sucking followed by long
 pauses. After T1 the suction was constant with pauses be-
 tween suction groups, slower suctions followed by degluti-
 tion, which was classified as T2. The final period (T3) was
 characterized by either the satisfaction or fatigue of the baby,
 and included a reduction in rhythm and suction groups, as well
 as an increase in pauses. Each examination and film segment
 was individually analyzed in order to classify periods 1, 2 and
 3 for the evaluated infants. The infants were submitted to a

Table 1 Description of the premature infants who took part in the study
 (n=5) and were submitted to sEMG during feeding

Infants	Gender	GA (wk)	WB (kg)	DB (d)	Apgar 1' 5'		
A	M	28	2.36	56	7	8	t1.3
B	F	34	2.24	31	7	9	t1.4
C	M	34	2.36	37	7	8	t1.5
D	F	29	1.34	73	1	2	t1.6
E	F	30	1.51	42	7	9	t1.7
Mean		31	1.96	48	5.8	7.2	t1.8
PD		2.8	0.49	16.4	2.6	2.9	t1.9

F female; M male; GA gestational age; WB weight at birth; DB days after birth; Apgar 1' e 5' Apgar index in the first and fifth min; PD standard deviation

t1.1
Q3

Table 2 Distribution of the values obtained in MSR by sEMG of the masseter and buccinator muscles of the premature infants (*n*=5) at Times 1, 2 and 3 of the feeding

Values MSR ^a	Time 1 (T1)	Time 2 (T2)	Time 3 (T3)	Total time
Subject A				
Masseter	122	113	108	2' 39"
Buccinator	77	62	55	
Subject B				
Masseter	193	234	177	4' 55"
Buccinator	208	215	165	
Subject C				
Masseter	55	49	48	2' 19"
Buccinator	40	71	60	
Subject D				
Masseter	90	132	132	1' 56"
Buccinator	66	93	90	
Subject E				
Masseter	27	46	33	3' 36"
Buccinator	77	66	22	

^a The electromyographic was quantified by the mean square root (MSR) in microvolts (μ v)

single electromyographic evaluation, which was performed during their first clinical visit after being released from the hospital.

Results

All included infants were premature, between 28 and 34 wk of gestational age with birth weights between 1.34 and 2.36 kg and weights on the examination date between 2.80 and 4.03 kg.

The group included three females and two males, between 31 and 73 d postpartum, with an Apgar score of 1 to 7 in the first min and 2 to 9 in the fifth min (Table 1).

The lowest masseter muscle activity registered by sEMG occurred in the exclusively bottle-fed infant. It was observed that Subject A presented higher masseter activity than buccinator activity, constant muscle activity with reduction in T3, which suggests satisfaction or economy of motor unit recruitment.

Subject B presented, in general, higher activity of the masseter muscle than the buccinator, increased muscle activity in T2 and decrease in T3 for both muscles, which indicates possible temporary muscle fatigue, a fact that may suggest satisfaction or economy of motor unit recruitment.

Subject C demonstrated similar muscle activity, but during T2 there was an increase in the activity of the buccinator and reduction in the activity of the masseter, which suggests, respectively, muscle fatigue and satisfaction or greater use of the buccinator muscle during breastfeeding.

Table 3 Studies using surface electromyography (sEMG)

Author	Patients	Method used	Outcomes	Limitations
Gomes [10]	60 term infants. Age: between 2 and 3 mo. Group1: 20 only breastfed infants. Group2: 20 breast-and bottle-fed infants. Group3: 20 breast- and cup-fed infants.	sEMG: Masseter, temporalis and buccinator muscles.	The data show that in both breastfeeding and cup feeding, the same muscles act in a similar fashion, with a greater participation of the masseter and temporalis muscles and a smaller participation of the buccinator muscles.	Does not describe the method or comparisons between muscles.
Daniëls [11]	<i>n</i> =18 preterm infants. Age: 0–8 wk. Type of feeding was undefined	sEMG: Digastric and mylohyoid muscles.	Inefficient drinking in the preterm infant is characterized by short sucking bursts and by a small volume of milk intake during each sucking movement. Efficient drinking is characterized by a large amount of milk intake during each sucking movement. Sucking rate does not correlate with feeding efficiency and is therefore not a good indicator for assessing feeding behavior in the preterm infant.	Made no reference to the feeding method used during EMG; the authors evaluated muscle activity only during breastfeeding.
Nyqvist [12]	26 breastfed preterm infants Age: mean postnatal age 18.0 d, SD 14.4	sEMG: Orbicularis oris, mylohyoid, geniohyoid, stylohyoid, and digastric muscles.	EMG data provided evidence of early sucking competence in preterm infants during breastfeeding, with wide individual variations.	The authors evaluated muscle activity only during breastfeeding.
Tamura [14]	48 healthy, term breastfed infants. Five groups according to mo of age. Age: 1–5 mo	sEMG: Temporalis, masseter, orbicular oris, and suprahyoid muscles.	Intensified activity of the suprahyoid muscle group during suckling was evident with age. The active tongue-and-jaw-lowering movements may play a primary role in increasing sucking strength during the suckle-feeding period of infants.	Does not describe the method or comparisons between muscles and types of feeding.

181 Subject D presented higher masseter activity, possibly be-
 182 cause she was bottle fed only intermittently during the day;
 183 however, there was an increase in the activity of both muscles
 184 in T2 and T3, which indicates muscle fatigue of the buccina-
 185 tor, possibly due to the frequent action of this muscle during
 186 bottle-feeding.
 187 Finally, Subject E demonstrated low values of both masse-
 188 ter and buccinator activity, with higher activity of the bucci-
 189 nator in T1 and T2 and a reduction in T3, possibly caused by
 190 muscular fatigue (Table 2).

191 **Discussion**

192 The use of sEMG can assist professionals working with
 193 premature infants in the diagnosis of muscle activity
 194 performed in different feeding methods, with the intent to
 195 benefit these infants and intervene in the improvement of the
 196 muscle activity, which is related to the growth of structures
 197 and development functions of the stomatognathic system [9].

198 In the index study it was noticed that masseter muscle activity
 199 was reduced in bottle-fed infants. The sucking movements
 200 performed during bottle-feeding are different compared with
 201 those performed during breastfeeding, which causes significant
 202 muscle changes. It is assumed that the neurological stimulus of
 203 maxillomandibular growth and development loses its synergism
 204 in artificial feeding. In addition to the stomatognathic system
 205 changes, other functions will be altered in bottle-fed infants,
 206 such as: swallowing, breathing and subsequently, chewing and
 207 talking. For this reason other feeding methods have been used.
 208 The cup-feeding is an important recommended feeding method,
 209 especially due to the similarities in the muscle activity during
 210 sucking to breastfeeding [10].

211 There were difficulties and limitations in using the sEMG
 212 because the facial musculature of premature babies is reduced,
 213 making both electrode placement and adhesion difficult; elim-
 214 inating interference was also difficult.

215 In several situations sEMG revealed the presence of oral
 216 motor dysfunctions, which were later clinically evaluated and
 217 treated by a speech therapy professional. Notable among the
 218 dysfunctions was elevated activity of buccinators in breastfed
 219 infants (with pain reported by their mothers due to nipple
 220 fissures), as well as a reduction in masseter activity indicated
 221 by difficulty in maintaining latch with the breast.

222 A recent systematic review revealed that some authors did
 223 not deem it necessary to describe the method of sEMG appli-
 224 cation or the feeding method tested, and there was no com-
 225 parison between feeding methods [9].

226 Due to the lack of scientific studies [10, 11, 14] describing the
 227 method or comparisons between muscles and types of feeding,
 228 the present study was developed using a time-based analysis
 229 (Table 3). The development and testing of a standardized

method for performing and analyzing sEMG in premature in- 230
 231 fants revealed that this type of examination is viable.

232 The method of the present study was based on a study
 233 performed with full-term infants [10], although some adapta-
 234 tions were necessary (electrode modification, electrode place-
 235 ment, examination duration and analysis according to tempo-
 236 ral stages).

237 The data obtained in these five cases agrees with other
 238 studies performed with full-term infants [10–12], however, it
 239 is not possible to discuss or make comparisons with other
 240 studies involving premature infants, because one of the studies
 241 made no reference to the feeding method used during EMG,
 242 and in the second study the authors evaluated muscle activity
 243 only during breastfeeding [11, 12] (Table 3). Studies with a
 244 larger sample size and with other forms of EMG signal anal-
 245 ysis such as, frequency domain fatigue and alternative forms
 246 of signal normalization should be encouraged.

247 **Conclusions**

248 The results of this study show that masseter activity is reduced
 249 in bottle-fed babies, and that there are similarities between the
 250 activity of the masseter muscle during breastfeeding and cup-
 251 feeding.

252 This study made it possible to define and adapt a method
 253 for performing sEMG in premature infants, and it indicated
 254 the relevance of sEMG for the clinical practice of speech
 255 therapy, because the results of the examination can reveal
 256 treatable oral motor dysfunctions that might frequently lead
 257 to early cessation of breastfeeding.

258 **Conflict of Interest** None.

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260 **References**

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